

Application

Federal Award Identification Number (FAIN)	OR-2021-049-00
Temporary Application Number	6800-2021-3
Application Name	FY2021 5311 TTP Formula funds for Preliminary Engineering and Design for Admin/Maintenance Facility Expansion and Indirect Costs
Application Status	Final Concurrence / Reservation
Application Budget Number	0

Period of Performance Start Date	N/A
Original Period of Performance End Date	7/30/2024
Current Period of Performance End Date	N/A Revision #: N/A

Part 1: Recipient Information

Name: CONFEDERATED TRIBES OF THE UMATILLA INDIAN RESERVATION

Recipient ID	Recipient OST Type	Recipient Alias	Recipient DUNS
6800	Indian Tribe	CONFEDERATED TRIBES OF THE UMATILLA INDIAN RESERVATION	131973919

Location Type	Address	City	State	Zip
Headquarters	46411 TIMINE WAY	PENDLETON	OR	978019099
Physical Address	46411 TIMINE WAY	PENDLETON	OR	97801
Mailing Address	46411 TIMINE WAY	PENDLETON	OR	97801

Union Information

There are no union contacts for this application

Part 2: Application Information

Title: FY2021 5311 TTP Formula funds for Preliminary Engineering and Design for Admin/Maintenance Facility Expansion and Indirect Costs

FAIN	Application Status	Application Type	Date Created	Last Updated Date	From TEAM?
OR-2021-049-00	Final Concurrence / Reservation	Grant	8/31/2021	8/31/2021	No

Application Executive Summary

This grant includes \$476,531 in FY2021 5311 TTP Formula funds for the preliminary engineering and design of the CTUIR Administration/Maintenance facility expansion and related Indirect Costs. The admin/maintenance facility is located 46322 Timine Way, Pendleton, OR.

Purpose: Provide funding for preliminary engineering and design and related indirect costs related to the expansion of the CTUIR Administration/Maintenance facility.

Activities to be Performed: Preliminary engineering and design services.

Expected Outcomes: Preliminary engineering and design plans as well as information appropriate to put forward an environmental review concurrence request.

Intended Beneficiaries: CTUIR staff will have safer, larger facilities to operate in which will result in more efficient services being provided by staff.

Subrecipient Activities: N/A

Frequency of Milestone Progress Reports (MPR)

Annual

Frequency of Federal Financial Reports (FFR)

Annual

Does this application include funds for research and/or development activities?

This award does not include research and development activities.

Pre-Award Authority

This award is using Pre-Award Authority.

Does this application include suballocation funds?

Recipient organization is directly allocated these funds and is eligible to apply for and receive these funds directly.

Will this Grant be using Lapsing Funds?

No, this Grant does not use Lapsing Funds.

Will indirect costs be applied to this application?

This award is applying an approved Indirect cost rate(s).

Indirect Rate Details: The DOI approved the Confederated Tribes of the Umatilla Indian Reservation indirect cost rate on 1/15/2021 and a copy of the approved indirect cost rate is on file with FTA in TrAMS. DOI requires the rate to be approved annually. The currently approved rate of 42.00% or the most current rate will be applied to the applicable activity line descriptions.

Requires E.O. 12372 Review

No, this application does not require E.O. 12372 Review.

Delinquent Federal Debt

No, my organization does not have delinquent federal debt.

Application Point of Contact Information

First Name	Last Name	Title	E-mail Address	Phone
Kristine	Ziglar	Transportation Program Specialist	Kristine.Ziglar@dot.gov	(206) 220-7963
Susan	Johnson	Program Manager	susanjohnson@ctuir.org	541-429-7519
Scot	Rastelli	Transportation Program Specialist	Scot.Rastelli@dot.gov	(206) 220-7965

Application Budget Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Tribal Transit Program Formula	5311-1B	20509	\$476,531
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$476,531

Application Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
OR-2021-049-01-00	600-00 (600-A1) OTHER PROGRAM COSTS	\$476,531.00	\$0.00	\$476,531.00	0
OR-2021-049-01-00	11.41.03 ADMIN/MAINTENANCE FACILITY (FY2021)	\$376,459.00	\$0.00	\$376,459.00	0

OR-2021-049-01-00	11.79.00	Section 5311 TTP Formula 100:00)(00) PROJECT ADMINISTRATION - INDIRECT COSTS (FY2021 Section 5311 TTP Formula 100:00)(00)	\$100,072.00	\$0.00	\$100,072.00	0
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Discretionary Allocations

This application does not contain discretionary allocations.

Part 3: Project Information

Project Title: FY2021 5311 TTP Formula funds for Preliminary Engineering and Design for Admin/Maintenance Facility Expansion and Indirect Costs

Project Number	Temporary Project Number	Date Created	Start Date	End Date
OR-2021-049-01-00	6800-2021-3-P1	8/31/2021	1/1/2022	4/30/2024

Project Description

This grant includes \$476,531 in FY2021 5311 TTP Formula funds for the preliminary engineering and design of the CTUIR Administration/Maintenance facility expansion and related Indirect Costs. The admin/maintenance facility is located 46322 Timine Way, Pendleton, OR.

Project Benefits

A larger, safer facility for staff and visitors which will increase staff efficiency and safety.

Additional Information

None provided.

Location Description

CTUIR service area includes Union (OR), Umatilla (OR), Morrow (OR), and Walla Walla (WA) counties.

Project Location (Urbanized Areas)

UZA Code	Area Name
410000	Oregon

Congressional District Information

State	District	Representative
Oregon	2	Greg Walden

Program Plan Information

STIP/TIP

Date: Not Provided

Description: There is no STIP requirement for 5311 TTP Formula Funds, 9040.1G Page X-3 #10. Statewide Transportation Improvement Program (STIP) and/or Transportation Improvement Program (TIP) Requirement.

UPWP

Date: N/A

Description: N/A

Long Range Plan

Date: N/A

Description: N/A

Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Tribal Transit Program Formula	5311-1B	20509	\$476,531
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$476,531

Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
OR-2021-049-01-00	600-00 (600-A1) OTHER PROGRAM COSTS	\$476,531.00	\$0.00	\$476,531.00	0
OR-2021-049-01-00	11.41.03 ENG/DESIGN - ADMIN/MAINTENANCE FACILITY (FY2021 Section 5311 TTP Formula 100:00)(00)	\$376,459.00	\$0.00	\$376,459.00	0
OR-2021-049-01-00	11.79.00 PROJECT ADMINISTRATION - INDIRECT COSTS	\$100,072.00	\$0.00	\$100,072.00	0

(FY2021 Section 5311
TTP Formula
100:00)(00)

Project Budget Activity Line Items

Budget Activity Line Item: 11.79.00 - PROJECT ADMINISTRATION - INDIRECT COSTS (FY2021 Section 5311 TTP Formula 100:00)(00)

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OTHER PROGRAM COSTS (600-00)	11.79.00	PROJECT ADMINISTRATION	OTHER CAPITAL ITEMS (BUS)	0

Extended Budget Description

This ALI includes \$100,072 in FY2021 Section 5311 TTP Formula funds for indirect costs using a Federally approved indirect cost rate for the time period of 1/1/2022 through 4/30/2024.

STIP:

There is no STIP requirement for 5311 TTP Formula Funds, 9040.1G Page X-3 #10. Statewide Transportation Improvement Program (STIP) and/or Transportation Improvement Program (TIP) Requirement.

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Tribal Transit Program Formula	5311-1B	20509	\$100,072
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$100,072

Milestone Name	Est. Completion Date	Description
Start Indirect Cost	1/1/2022	
End Indirect Cost	4/30/2024	

Budget Activity Line Item: 11.41.03 - ENG/DESIGN - ADMIN/MAINTENANCE FACILITY (FY2021 Section 5311 TTP Formula 100:00)(00)

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OTHER PROGRAM COSTS (600-00)	11.41.03	ENG/DESIGN - ADMIN/MAINTENANCE FACILITY	ENGINEERING & DESIGN - BUS SUPPORT EQUIP/FACIL	0

Extended Budget Description

This ALI includes \$376,459 in FY2021 Section 5311 TTP funds for contracted preliminary engineering and design services to expand the existing administrative and maintenance facility located at located 46322 Timine Way, Pendleton, OR. This expansion is necessary to provide adequate space for staff to operate safely.

STIP:

There is no STIP requirement for 5311 TTP Formula Funds, 9040.1G Page X-3 #10. Statewide Transportation Improvement Program (STIP) and/or Transportation Improvement Program (TIP) Requirement.

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Tribal Transit Program Formula	5311-1B	20509	\$376,459
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$376,459

Milestone Name	Est. Completion Date	Description
RFP/IFB Date	1/1/2022	
Contract Award	3/1/2022	
Contract Start	4/1/2022	
Contract End	3/30/2024	
Contract Complete	4/30/2024	

Project Environmental Findings

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an

environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date	Description	Date
	Class IIc CE Approved	

Part 4: Fleet Details

No fleet data exists for this application.

Part 5: FTA Review Comments

FTA Comments for DOL

Comment By Kristine Ziglar

Comment Type	DOL Review for Information
Date	9/14/2021
Comment	The Federal Transit Administration (FTA) requests that the U.S. Department of Labor (DOL) undertake all of the steps necessary to perform its certification for this grant application. FTA requests that DOL complete its referral and review within 30 days. Please advise us of any 40 U.S.C. Section 5333(b) terms and conditions to be included in the grant.

Part 6: Agreement

**UNITED STATES OF AMERICA
DEPARTMENT OF TRANSPORTATION
FEDERAL TRANSIT ADMINISTRATION**

**GRANT AGREEMENT
(FTA G-28, February 9, 2021)**

On the date the authorized U.S. Department of Transportation, Federal Transit Administration (FTA) official signs this Grant Agreement, FTA has obligated and awarded federal assistance as provided below. Upon execution of this Grant Agreement by the Recipient named below, the Recipient affirms this FTA Award, enters into this Grant Agreement with FTA, and binds its compliance with the terms of this Grant Agreement.

The following documents are incorporated by reference and made part of this Grant Agreement:

- (1) "Federal Transit Administration Master Agreement," FTA MA(28), February 9, 2021, <http://www.transit.dot.gov>,
- (2) The Certifications and Assurances applicable to the FTA Award that the Recipient has selected and provided to FTA, and
- (3) Any Award notification containing special conditions or requirements, if issued.

WHEN THE TERM "FTA AWARD" OR "AWARD" IS USED, EITHER IN THIS GRANT AGREEMENT OR THE APPLICABLE MASTER AGREEMENT, "AWARD" ALSO INCLUDES ALL TERMS AND CONDITIONS SET FORTH IN THIS GRANT AGREEMENT.

FTA OR THE FEDERAL GOVERNMENT MAY WITHDRAW ITS OBLIGATION TO PROVIDE FEDERAL ASSISTANCE IF THE RECIPIENT DOES NOT EXECUTE THIS GRANT AGREEMENT WITHIN 90 DAYS FOLLOWING FTA's AWARD DATE SET FORTH HEREIN.

FTA AWARD

Federal Transit Administration (FTA) hereby awards a Federal Grant as follows:

Recipient Information

Recipient Name: CONFEDERATED TRIBES OF THE UMATILLA INDIAN RESERVATION

Recipient ID: 6800

DUNS No: 131973919

Application Information

Federal Award Identification Number: OR-2021-049-00

Application Name: FY2021 5311 TTP Formula funds for Preliminary Engineering and Design for Admin/Maintenance Facility Expansion and Indirect Costs

Application Start Date: N/A

Original Award End Date: 7/30/2024

Current Award End Date: N/A

Application Executive Summary: This grant includes \$476,531 in FY2021 5311 TTP Formula funds for the preliminary engineering and design of the CTUIR Administration/Maintenance facility expansion and related Indirect Costs. The admin/maintenance facility is located 46322 Timine Way, Pendleton, OR.

Purpose: Provide funding for preliminary engineering and design and related indirect costs related to the expansion of the CTUIR Administration/Maintenance facility.

Activities to be Performed: Preliminary engineering and design services.

Expected Outcomes: Preliminary engineering and design plans as well as information appropriate to put forward an environmental review concurrence request.

Intended Beneficiaries: CTUIR staff will have safer, larger facilities to operate in which will result in more efficient services being provided by staff.

Subrecipient Activities: N/A

Research and Development: This award does not include research and development activities.

Indirect Costs: This award is applying an approved Indirect cost rate(s).

Suballocation Funds: Recipient organization is directly allocated these funds and is eligible to apply for and receive these funds directly.

Pre-Award Authority: This award is using Pre-Award Authority.

Application Budget

Total Application Budget: \$476,531.00

Amount of Federal Assistance Obligated for This FTA Action (in U.S. Dollars): \$476,531.00

Amount of Non-Federal Funds Committed to This FTA Action (in U.S. Dollars): \$0.00

Total FTA Amount Awarded and Obligated (in U.S. Dollars): \$476,531.00

Total Non-Federal Funds Committed to the Overall Award (in U.S. Dollars): \$0.00

Application Budget Control Totals

(The Budget includes the individual Project Budgets (Scopes and Activity Line Items) or as attached)

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Tribal Transit Program Formula	5311-1B	20509	\$476,531
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$476,531

(The Transportation Development Credits are not added to the amount of the Total Award Budget.)

U.S. Department of Labor Certification of Public Transportation Employee Protective Arrangements:

Original Certification Date:

Special Conditions

There are no special conditions.

FINDINGS AND DETERMINATIONS

By signing this Award on behalf of FTA, I am making all the determinations and findings required by federal law and regulations before this Award may be made.

FTA AWARD OF THE GRANT AGREEMENT

Awarded By:

FEDERAL TRANSIT ADMINISTRATION
U.S. DEPARTMENT OF TRANSPORTATION
Contact Info:
Award Date:

EXECUTION OF THE GRANT AGREEMENT

Upon full execution of this Grant Agreement by the Recipient, the Effective Date will be the date FTA or the Federal Government awarded Federal assistance for this Grant Agreement.

By executing this Grant Agreement, the Recipient intends to enter into a legally binding agreement in which the Recipient:

- (1) Affirms this FTA Award,
- (2) Adopts and ratifies all of the following information it has submitted to FTA:
 - (a) Statements,
 - (b) Representations,
 - (c) Warranties,
 - (d) Covenants, and
 - (e) Materials,
- (3) Consents to comply with the requirements of this FTA Award, and
- (4) Agrees to all terms and conditions set forth in this Grant Agreement.

Executed By:

CONFEDERATED TRIBES OF THE UMATILLA INDIAN RESERVATION